



Bid Form (2004)

EPA Allowance Auctions

For more information, see instructions and refer to 40 CFR 73.70 - 73.71

STEP 1
Enter bidder account information. If you do not have an allowance tracking system (ATS) account, submit the Allowance Account Information form prior to or with this submission.

ATS Account #
Authorized Account Representative (AAR) Name and ID#
AAR Phone Number
AAR Fax Number

STEP 2
Enter the name you want to appear on EPA's official lists of winners and bidders.

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STEP 3
Identify the auction to which this bid applies (mark one only).

~ Spot (first useable in 2004; may include 1995-2004 allowances)

~ 7-Year Advance (first useable in 2011)

STEP 4
Enter the bid price being offered for each allowance.

Per allowance	\$ _____.
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STEP 5
Enter the number of allowances sought.

allowances	_____
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STEP 6
Multiply the Step 3 entry by the Step 4 entry and enter the result.

Total bid price	\$ _____.
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STEP 7
Mark the appropriate box to indicate the method of payment being used.

~ Certified Check or Cashier's Check

~ EPA Letter of Credit Form

~ Wire Transfer

(Note: Enclose method of payment with Bid Form)

STEP 8
Mark the box if appropriate.

~ I am not willing to purchase fewer allowances than the number requested in Step 5.

STEP 9
Read the certification, enter your name as the authorized account representative or alternate authorized account representative, and sign and date.

I am authorized to make this submission on behalf of the owners and operators of the affected source or affected units or on behalf of the parties with an ownership interest with respect to the allowances held for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Name	
Signature	Date

Submission Information

This form must be received by the Chicago Board of Trade no later than 4:30 pm Central Standard Time March 16, 2004.

Faxed Bid Forms will NOT be accepted. Mail Bid Form to the following address:

Chicago Board of Trade
Attn: EPA Auctions
141 W. Jackson Blvd., Suite 950
Chicago, IL 60604



Acid Rain Program

Instructions for Bid Form (2004)

EPA Allowance Auctions (40 CFR 73.70 - 73.71)

EPA will hold auctions for spot and 7-year advance SO₂ emission allowances on March 22, 2004. In the spot auction, EPA will sell allowances first useable for compliance in 2004. In the 7-year advance auction, EPA will sell allowances first useable for compliance in 2011. The Chicago Board of Trade (CBOT) will administer the auctions on EPA's behalf. Any person may participate in the auctions by opening an account in the Allowance Tracking System (ATS) and submitting a Bid form. Affected utility units automatically receive a unit account in the ATS.

Type or complete the form in black ink. You may photocopy this form to make multiple bids, but must sign each form individually. Under 40 CFR 72.21 and 73.33, you must notify the persons you represent, either the owners or operators of the affected source and units or persons with an ownership interest with respect to the allowances held, of all Acid Rain Program submissions.

EPA will accept Acid Rain Program submissions from either the authorized account representative (AAR) or the alternate AAR. (For unit accounts the designated representative (DR) is the AAR and the alternate DR is the alternate AAR.)

If you need assistance or additional forms to make this submission complete, call the Acid Rain Hotline at (202) 363-9620.

STEP 1 If you do not have an Allowance Tracking System (ATS) account number you must submit an Allowance Account Information form prior to or with this Bid form.

STEP 2 Enter the name (e.g., firm name) you want to appear on all EPA auction results lists.

STEP 3 Each bid requires a separate Bid form.

STEP 7 You must send payment with the Bid form. The payment must be a certified or cashier's check, an EPA Letter of Credit form, or wire transfer of funds to cover the total amount of all your bids.

- If you are using a certified or cashier's check as a method of payment, each bid requires a separate check, e.g., for three separate bids, submit three separate certified or cashier's checks. If you are using the other payment methods, payment for multiple bids may be added together.
- Make the certified or cashier's check payable to "U.S. EPA".
- To submit a letter of credit, complete the EPA Letter of Credit form and submit with this Bid form. CBOT will accept only EPA Letter of Credit forms signed by banks that maintain an account with any Federal Reserve Bank or Branch and are on-line participants in the FEDWIRE funds transfer system.
- If you are using a wire transfer to cover the total amount of all your bids, check the appropriate box on the bid form, and attach a cover letter to the bid form stating your intentions to use a wire

transfer. The funds must be wired to EPA Account: TREAS NYC/(68011233)EPA no later than 4:30 p.m. Central Standard Time on the Tuesday before the date of the auction (March 16, 2004).

STEP 8 If fewer allowances remain in the Auction than you requested, CBOT will partially fulfill your bid unless you indicate that you are not willing to purchase fewer.

STEP 9 If you do not yet have an ATS account, enter the name of the AAR or the alternate AAR identified on the Allowance Account Information form.

Submission Instructions

For the EPA Auctions, CBOT must receive completed Bid forms no later than 4:30pm Central Standard Time March 16, 2004. **Faxed Bid Forms will NOT be accepted.** Mail the completed forms and form of payment to:

CHICAGO BOARD OF TRADE
ATTN: EPA AUCTIONS
141 W. JACKSON BLVD., SUITE 950
CHICAGO, IL 60604

Paperwork Burden Estimate

The burden on the public for collecting and reporting of information under this request is estimated at 30 minutes per response. Send comments regarding this collection of information, including suggestions for reducing the burden, to: Director, OPPE, Regulatory Information Division (2136), U.S. Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. **Do not send this form to this address; see the submission instructions above.**